Practitioner's Docket No. SST/1197

PATENT

111 11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MAY 1 3 2003

Alex S. Toback

Application No.: 09/634,908

Group No.: 3635

Filed: 08/09/2000

Examiner: Varner, S.

For: Self-Drilling, Self-Anchoring Fastener for Concrete

RECEIVED

MAY 1 6 2003

P.O. Box 1450
Alexandria, VA 22313-1450

GROUP 3600

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that the attached correspondence comprising:

Return Receipt Post Card (1pg)

Status Inquiry (2pgs) (3 pgs) cre 5(0)07

Copy of Power of Attorney by Assignee (2pgs)

Copy of Statement Under 37 C.F.R. section 3.73(b) (3pgs)

Copy of Assignments (11pgs)

are being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

on May \$ 2003

Charles R. Cypher

Signature of person mailing paper

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

. MAIL	ING
[X] deposited with the United States Postal Service in an envelope addres 22313-1450.	ssed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA
37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10*
[X] with sufficient postage as first class mail.	G as "Express Mail Post Office to Addressee"
	Mailing Label No.
	(mandatory)
TRANSM	IISSION
G facsimile transmitted to the Patent and Trademark Office, (703)	<u>-</u> -
	Cllu (L C)
20103	Signature
1 2003 5(8(°)	
Date: May 8, 2003 5 (8 03)	Charles R. Cypher
	(type or print name of person certifying)
Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be calculations. Practitioner's Docket No. SST/1197	Status Inquirypage 1 of 3 PATENT
IN THE UNITED STATES PATEN	NT AND TRADEMARK OFFICE
In re application of: Alex S. Toback	
Application No.: 09/634,908 Group No.: 3635	
Filed: 08/09/2000 Examiner: Varner, S.	
For: Self-Drilling, Self-Anchoring Fastener for Concr	
For. Self-Diffilling, Self-Antonoring Pasterier for Control	
Commissioner for Patents	
P.O. Box 1450	
Alexandria, VA 22313-1450	

STATUS INQUIRY

1. More than Thirteen months have passed since the filing of a response on March 11, 2002. No further communication has been received from the Patent and Trademark Office.

- 2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box on the next page. A stamped return-addressed envelope is provided.
- 3. Attached are copies of the Power of Attorney by Assignee of Entire Interest which was submitted on April 11, 2002, and a Statement Under 37 C.F.R. section 3.73(b) which was filed with a Request for Continued Examination on March 11, 2002. The assignee further notes that at the time the Statement Under 37 C.F.R. Section 3.73(b) was submitted on March 11, 2002, not all of the documents in the chain-of-title had been recorded. All of the assignments have now been recorded.

Date: May 8, 2002 or 5(80)

Charles R. Cypher, Reg. No. 41694 Law Offices of James R. Cypher

405 14th Street, Suite 1607

Oakland, CA 94612 Tel. No.: 510-832-4111 Customer No. 0498

STATUS INQUIRY REPLY

APPLICATIO	ON NO. 09/634,908 IS CURRENTLY
[] ASSI	GNED TO GROUP AND AWAITS:
[] ACTI	ON BY THE EXAMINER.
[]	APPLICANT'S RESPONSE TO THE OFFICE ACTION MAILED

actitioner's Docket No. TOB/101/US & SST/1197

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Toback, Alex S.

Application No.: 09/634,908 Filed: 08/09/00

Group No.: 3635

Examiner: Varner, S.

For: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

RECEIVED

MAY 1 6 2003

Commissioner for Patents Washington, D.C. 20231

GROUP 3600

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST (REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified application.

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following practitioners are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

> Charles R. Cypher, Registration No. 41694 James R. Cypher, Registration No. 22448

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

Customer No.: 498

510-832-4111

Assignee:

Simpson Strong-Tie Company, Inc. 4120 Dublin Boulevard, Suite 400 Dublin, CA 94568

Assignment was submitted for recording in PTO on March 11, 2002



ASSIGNEE STATEMENT

Attached to this power is a "STATEMENT UNDER 37 C.F.R. section 3.73(b)."

Date:

Signature
Simpson Strong-Tie Company, Inc.
Assignee
Stephen B. Lamson
President



Practitioner's Docket No. SST/1197

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toback, Alex S.

Application No.: 09/634,908 Filed:

August 9, 2000

Group No.: 3635 Examiner: Varner, S.

For:

SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

Assistant Commissioner for Patents Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. section 3.73(b) ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

2. Name of assignee:

Simpson Strong-Tie Company, Inc.

Type of assignee:

Corporation

PERSON AUTHORIZED TO SIGN

3. Name of person authorized to sign on behalf of assignee: Stephen B. Lamson

CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

		MAILING	
	deposited with the United States Postal Service i Washington, D.C. 20231.	n an envelope a	addressed to the Assistant Commissioner for Patent
	37 C.F.R. section 1.8(a)		37 C.F.R. section 1.10*
0	with sufficient postage as first class mail.		as "Express Mail Post Office to Address" Mailing Label No (mandatory)
	TRA	NSMISSION	
	transmitted by facsimile to the Patent and Tradema	ark Office.	
Date: _		•	
		Signat	lure
		(type o	or print name of person certifying)
+W/4D8	NINC: Each war on for filed by "Emmars Mai	:1"	

WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Statement under 37 C.F.R. section 3.73(b) Establishing Right of Assignee to Take Action-page 1 of A) 316/02



Title of person authorized to sign: President

I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A. Assignments (document) separately being submitted for recordal herewith.

1. Document entitled: ASSIGNMENT

From:

Alex S. Toback

To:

Toback & Associates LLC

Of:

Provisional Patent Application 60/170,689

Inventor: Alex S. Toback

Executed:

January 10, 2002

2. Document entitled: IN THE UNITED STATES PATENT OFFICE ASSIGNMENT TOBACK & ASSOCIATES LLC TO SIMPSON STRONG-TIE COMPANY, INC.

From:

Alex S. Toback

To:

Toback & Associates LLC

From:

Toback & Associates LLC

To:

Simpson Strong-Tie Company, Inc.

Of:

Provisional Patent Application 60/170,689 Non-provisional Patent Application 09/634,908

Inventor: Alex S. Toback

Executed:

January 10, 2002

B. Assignments (document) already recorded:

1. Document entitled: ASSIGNMENT

From: Alex S. Toback

To: Toback & Associates LLC

Of: Non-Provisional Patent Application 09/634,908

Inventor: Alex S. Toback

The assignment was filed concurrently with the application.

Executed: August 7, 2000

Recorded: 1/25/2001 Reel: 011464 Frame: 0467

COPIES OF DOCUMENTS IN CHAIN OF TITLE

Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

A1

A2

B1

Signature of authorized person Stephen B. Lamson President

Charles R. Cypher
Registration No. 41694
Law Offices of James R. Cypher
405 14th Street, Suite 1607 Oakland, CA 94612-2747

510-832 4111 Customer No. 498

FORM PTO-1595 RECORDATION FO (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94) DATENT	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCIAL Patent and Trademark Office
Tab settings ⇔ ⇔ ♥ ▼ ▼	EL824314821
	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies):	Name and address of receiving party(ies):
Alex S. Toback	Name: Toback & Associates LLC
(MAY 1 3 2003 %)	Internal Address:
The Description of the Control of th	Intellal Addices.
Additional name(s) of conveying party(ies) attached?	
3. Nature of conveyance:	
Assignment	Street Address: 106 South Street
Security Agreement Change of Name	
Other	City: West Hartford State: CT ZIP: 06110-1961
Execution Date: January 10, 2002	Additional name(s) & address(es) attached?
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application	n, the execution date of the appli cation is:
A. Patent Application No.(s)	R. Patent No. (c) HEUE! VEU
A. Fatent Application No.(8)	B. Patent No.(s)
•	MAY 1 & 2003
09/634,908<~~ 3 w/oz	MAY 1 \$ 2003
09/634,90 8 -	MAY 1 6 2003 GROUP 3600
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99/634,908 < ೭೬ 3 ಬರ್ರ 60/170,669 Additional numbers atta	MAY 1 6 2003 GROUP 3600 ched? Yes X No 6. Total number of applications and patents involved:
60/170,669 Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed:	MAY 1 € 2003 GROUP 3600 ched?
09/634,908 < 3 Wor Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles R. Cypher	MAY 1 6 2003 GROUP 3600 ched? Yes X No 6. Total number of applications and patents involved:
09/634,908 < 3 Wor Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles R. Cypher	MAY 1 € 2003 GROUP 3600 ched?
## Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name:Charles R. Cypher Internal Address:	MAY 1 6 2003 GROUP 3600 ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\frac{40.00}{\text{Enclosed}}\$ Enclosed Check no. \$\frac{8238}{\text{8238}}\$
09/634,908 < 3 Wor Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles R. Cypher	MAY 1 6 2003 GROUP 3600 ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\frac{40.00}{\text{Enclosed}}\$ Enclosed Check no. \$\frac{8238}{\text{8238}}\$
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Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name:Charles R. Cypher Internal Address: Street Address: City: Oakland State: CA zip: 94612	GROUP 3600 Ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\infty\$ 40.00 Enclosed Check no. 8238 Authorized to be charged to deposit account 8. Deposit account number:
Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles R. Cypher Internal Address: 405 14th Street, Suite 1607 City: Oakland State: CA ZIP: 94612 DO NOT 9. Statement and signature.	GROUP 3600 Ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\frac{40.00}{\text{X}}\$ Enclosed Check no. \$\frac{8238}{\text{Authorized to be charged to deposit account}}\$ 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account) USE THIS SPACE
Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles R. Cypher Internal Address: Street Address: 405 14th Street, Suite 1607 City: Oakland State: CA ZIP: 94612 DO NOT 9. Statement and signature. To the best of my knowledge and belief, the foregoing inform	GROUP 3600 Ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\frac{40.00}{2}\$ Enclosed Check no. 8238 Authorized to be charged to deposit account 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account)
Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name:Charles R. Cypher Internal Address: Street Address: City: Oakland State: CA ZIP: 94612 9. Statement and signature. To the best of my knowledge and belief, the foregoing inform the original document.	GROUP 3600 Ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\frac{40.00}{\text{ Enclosed Check no. 8238}}\$ Authorized to be charged to deposit account 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account) USE THIS SPACE
Additional numbers atta 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles R. Cypher Internal Address: Street Address: 405 14th Street, Suite 1607 City: Oakland State: CA ZIP: 94612 DO NOT 9. Statement and signature. To the best of my knowledge and belief, the foregoing inform	GROUP 3600 Ched? Yes X No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) \$\infty\$ 40.00 \text{X} Enclosed Check no. 8238 Authorized to be charged to deposit account 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account) USE THIS SPACE



Attorney Docket: METALT/107/US

ASSIGNMENT

WHEREAS, I, Alex S. Toback, residing at 65 Fox Chase Lane, West Hartford, Connecticut 06117 have invented new and useful improvements in

CONCRETE FASTENER

for which I have made application for Letters Patent of the United States, which a Provisional Patent Application was filed on December 14, 1999, under Serial No. 60/170,689; and

WHEREAS, Toback & Associates LLC, a Connecticut corporation, having a place of business at 106 South Street, West Hartford, Connecticut 06110-1961, is desirous of acquiring the entire right, title and interest in and to said improvements and any Letters Patent which may be granted thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One (1) Dollar to me in hand paid and other good and valuable consideration, receipt of which is hereby acknowledged, I, the said Alex S. Toback, sell, assign and transfer to Toback & Associates LLC, its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and in all countries foreign thereto, together with said application and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filed on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof; and I do hereby further assign to the Assignee the right to file applications for patent in all countries on said improvements and all rights of priority resulting from any application for Letters Patent filed on said improvements.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.

I further covenant and agree that when requested by the Assignee, and without further consideration, but at the cost and expense of the Assignee, I will, for any and all countries, execute and deliver all applications for patent on said improvements, execute all lawful oaths and other papers, supply to the Assignee all facts and evidence known to me relating to said improvements and the history and development thereof, testify in all interferences, suits, and other legal proceedings, and generally do everything rightful which the Assignee shall consider desirable for aiding in securing, maintaining, and enforcing proper patent protection for said improvements and for vesting the title to said improvements in the Assignee.

I further covenant that I have the lawful right to assign the interest in said improvements in the manner and form as herein expressed and that the interests herein conveyed are free from prior assignment, grant, mortgage, license, or other encumbrance whatsoever.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of and for this 10th day of January , 2002.

State of Cornection)

() ss: Recky Hill

(County of Hadford)

On this <u>John</u> day of <u>January</u>, 2002, before me personally appeared Alex S. Toback, who acknowledged that he signed the within instrument and that he executed the same as his own free act and deed.

Date Commission Expires:

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FORM PTO-1595 RECORDATION FO	
OMB No. 0651-0011 (exp. 4/94)	RM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Tab settings ⇔ ⇔ ♥ ▼	▼
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies):	Name and address of receiving party(ies):
Toback & Associates LC	Name: Simpson Strong-Tie Company, Inc.
MAY 1 3 2003 %	
	Internal Address:
Additional name(s) of conveying party(ies) attached?	
3. Nature of conveyance:	
Assignment Merger	Street Address: 4120 Dublin Boulevard, Suite 400
Security Agreement Change of Name	
☐ Other	City: Dublin State: CA ZIP: 94568
Execution Date: January 10, 2002	Additional name(s) & address(es) attached?
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application	the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
, 2.0 ,	MAY 1 6 2003
09/634,908	
60/170,689	Group 360 0]
Additional numbers atta	ched? Yes X No
E. Name and address of party to whom correspondence	CAL. 3 (40)
Name and address of party to whom correspondence concerning document should be mailed:	مرية 6. Total number of applications and patents involved:
concerning document should be mailed:	Ya
• •	Ya
concerning document should be mailed:	6. Total number of applications and patents involved: 12 7. Total fee (37 CFR 3.41) \$ 40.00 + 40.06
Charles R. Cypher	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
Charles R. Cypher	6. Total number of applications and patents involved: 12 7. Total fee (37 CFR 3.41) \$ 40.00 + 40.06
Charles R. Cypher Internal Address:	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
Charles R. Cypher	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
Charles R. Cypher Internal Address: Street Address: 405 14th Street, Suite 1607	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
Charles R. Cypher Internal Address: Street Address: 405 14th Street, Suite 1607 City: Oakland State: CA ZIP: 94612	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
Charles R. Cypher Internal Address: Street Address: 405 14th Street, Suite 1607 City: Oakland State: CA ZIP: 94612	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
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Charles R. Cypher Internal Address: Street Address: City: Oakland State: CA ZIP: 94612 DO NOT 9. Statement and signature. To the best of my knowledge and belief, the foregoing inform	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)
concerning document should be mailed: Name: Charles R. Cypher Internal Address: 405 14th Street, Suite 1607 City: Oakland State: CA ZIP: 94612 DO NOT 9. Statement and signature. To the best of my knowledge and belief, the foregoing inform the original document. Charles R. Cypher Name of Person Signing	6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

EL827314821



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNMENT

TOBACK & ASSOCIATES LLC TO SIMPSON STRONG-TIE COMPANY, INC.

- 1. WHEREAS, ALEX S. TOBACK, a citizen of the United States of America, residing in West Hartford, CT has made an invention, filed a provisional patent application in the United States Patent and Trademark Office based thereon, entitled CONCRETE FASTENER, on December 14, 1999, said application having received Application No. 60/170,689 and being also identified by Attorney's docket No. METALT/107/US, and also filed a non-provisional patent application for Letters Patent of the United States of America based thereon, entitled SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE, on August 9, 2000, said application having received Application No. 09/634,908 and claiming priority of said provisional application;
- 2. WHEREAS, ALEX S. TOBACK has sold, assigned and transferred unto TOBACK & ASSOCIATES LLC (ASSIGNOR), its successors and assigns, the entire right, title and interest in and to said invention, said provisional application and said non-provisional application, and in and to any division, continuation or continuation-in-part, of said application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise) which have been or may be granted on any of the foregoing; to be held and enjoyed as fully and exclusively as they would have been by Alex S. Toback had the assignment and transfer not been made;
- 3. WHEREAS, TOBACK & ASSOCIATES LLC (ASSIGNOR), a Limited Liability Company of <u>Connecticut</u>, having its principal office at 106 South Street, West Hart fond OCIIO is the sole and exclusive owner, of said invention, said provisional application and said

non-provisional application for Letters Patent of the United States of America;

- 4. WHEREAS, SIMPSON STRONG-TIE COMPANY, INC. (ASSIGNEE), a corporation of California having its principal office at 4120 Dublin Boulevard, Suite 400, Dublin, CA 94568 is desirous of acquiring the entire right, title and interest in, to and under said invention, said provisional application and said non-provisional application and any patents domestic or foreign to be obtained therefor;
- NOW THEREFORE, for good and valuable consideration, the receipt of 5. which is hereby acknowledged, ASSIGNOR by these presents does sell, assign, and transfer unto ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention, said provisional application and said non-provisional application and in and to any division, continuation or continuation-in-part, of said non-provisional application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise) which have been or may be granted on any of the foregoing; the same to be held and enjoyed by said ASSIGNEE, for its own use and behoof, and for its legal representatives and assigns, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.
- 6. ASSIGNOR and Alex S. Toback hereby covenant that no assignment, sale agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment and sale, and ASSIGNOR and Alex S. Toback further covenant that TOBACK & ASSOCIATES LLC (ASSIGNOR), its successors and assigns, hold the entire right, title and interest in and to said invention, said provisional application and said non-provisional application, and in and to any division, continuation or continuation-in-part, of said application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in



foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise) which have been or may be granted on any of the foregoing;

- 7. ASSIGNOR and Alex S. Toback hereby covenant that no license to make, use or sell the subject matter of said invention, said provisional application, said non-provisional application or any patents domestic or foreign that may be obtained therefor, express or implied has been or will be made or entered into with an individual or entity other than said ASSIGNEE.
- 8. ASSIGNOR does further agree for itself and for its successors, assigns, and nominees, and Alex S. Toback does further agree for himself and for his heirs, executors and administrators, that they will, upon ASSIGNEE's request, promptly provide ASSIGNEE its successors, assigns, and nominees, all pertinent facts, embodiments and documents relating to said invention, said non-provisional application and said application and legal equivalents as may be known and accessible and will testify as to the same in any interference or litigation related thereto.
- 9. ASSIGNOR does further agree for itself and for its successors, assigns, and nominees, and Alex S. Toback does further agree for himself and for his heirs, executors and administrators, to promptly execute and deliver without further consideration but at the expense of ASSIGNEE, its successors, assigns, or nominees, to fully secure to ASSIGNEE, its successors, assigns, or nominees, any further applications, assignments, instruments, prototypes, samples, documents, declarations or affidavits, and to perform such other acts as are lawful and may be deemed necessary by the ASSIGNEE, its successors, assigns, or nominees, to fully secure to ASSIGNEE, its successors, assigns, or nominees, the interests as aforesaid.
- 10. ASSIGNOR and Alex S. Toback do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent which may be granted upon any of the said applications, to ASSIGNEE, as the assignee of the entire interest therein.



Executed, this 10 th day of January TOBACK & ASSOCIATES LLC Title: Executed, this 10.14day of January , 200්\d by: ALEX S. TOBACK Signed: /// CERTIFICATE OF ACKNOWLEDGMENT State of Connecticut County of Hart Ford On January 10 2002 before me, personally appeared

Alex S. Toback personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal. Signature

Swinner of the Superior Court



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231



APRIL 09, 2001

ALIX, YALE & RISTAS, LLP GUY D. YALE, ESQ. 750 MAIN STREET HARTFORD, CT 06103-2721



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/25/2001

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TOBACK, ALEX S.

DOC DATE: 08/07/2000

ASSIGNEE:

TOBACK & ASSOCIATES 106 SOUTH STREET WEST HARTFORD, CONNECTICUT

06110-1961

SERIAL NUMBER: 09634908

PATENT NUMBER:

FILING DATE: 08/09/2000

ISSUE DATE:

TARA WASHINGTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

RECEIVED MAY 1 6 2003

GROUP 3600



Attorney Docket: TOB/101/US

ASSIGNMENT

WHEREAS, I, Alex S. Toback, residing at 65 Fox Chase Lane, West Hartford, Connecticut 06117 have invented new and useful improvements in

SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

for which I am making application for Letters Patent of the United States, which application was executed by me on the ______ day of _______, 2000; and

WHEREAS, Toback & Associates LLC, a Connecticut Corporation, having a place of business at 106 South Street, West Hartford, Connecticut 06110-1961, is desirous of acquiring the entire right, title and interest in and to said improvements and any Letters Patent which may be granted thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One (1) Dollar to me in hand paid and other good and valuable consideration, receipt of which is hereby acknowledged, I, the said Alex S. Toback, sell, assign and transfer to Toback & Associates LLC, its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and in all countries foreign thereto, together with said application and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filled on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof; and I do hereby further assign to the Assignee the right to file applications for patent in all countries on said improvements and all rights of priority resulting from any application for Letters Patent filed on said improvements.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.



I further covenant and agree that when requested by the Assignee, and without further consideration, but at the cost and expense of the Assignee, I will, for any and all countries, execute and deliver all applications for patent on said improvements, execute all lawful oaths and other papers, supply to the Assignee all facts and evidence known to me relating to said improvements and the history and development thereof, testify in all interferences, suits, and other legal proceedings, and generally do everything rightful which the Assignee shall consider desirable for aiding in securing, maintaining, and enforcing proper patent protection for said improvements and for vesting the title to said improvements in the Assignee.

I further covenant that I have the lawful right to assign the interest in said improvements in the manner and form as herein expressed and that the interests herein conveyed are free from prior assignment, grant, mortgage, license, or other encumbrance whatsoever.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of and for this 7 day of August, 2000.

ALEX S. TOBACK REC VED

MAY 6 2003

State of Connecticut)

) \$5:

County of HARTFORD)

GRUUF 3600

On this _____ day of AUGUST___, 2000, before me personally appeared Alex S. Toback, who acknowledged that he signed the within Instrument and that he executed the same as his own free act and deed.

Date Commission Expires: JUNE 30, 2003

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